

# Computer security

## **Integrity**

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# Hash functions

A **hash function**,  $h$ , converts a binary string of arbitrary size into a fixed-size  $n$ -bit string

If the input size  $> n$  then **collisions** happen

# Hash functions properties

**Compression:** converts a binary string of arbitrary size into a fixed-size  $n$ -bit string

**computation efficiency:**  $h(x)$  must be efficiently computable

# Hash functions in cryptography

Hash functions are used in:

- *manipulation detection codes* (MDC): to manage data integrity
- *message authentication codes* (MAC): to manage data integrity and source authentication

MDCs are divided into two classes: *one-way hash functions* (OWHF) and *collision resistant hash functions* (CRHF)

# Cryptographic hash functions

Cryptographic hash functions have additional properties : let  $x$  and  $x'$  be inputs and let  $y$  and  $y'$  be the corresponding outputs

1. **preimage resistance**: for at most all output  $y$  of  $h()$ , it must be computationally infeasible to find a preimage  $x'$  such that  $h(x') = y$
2. **second preimage resistance**: given  $x$  and  $y = h(x)$ , it must be computationally infeasible to find a second preimage  $x' \neq x$  such that  $h(x) = h(x')$
3. **collision resistance**: it must be computationally infeasible to find two inputs  $x$  and  $x'$  such that  $h(x) = h(x')$

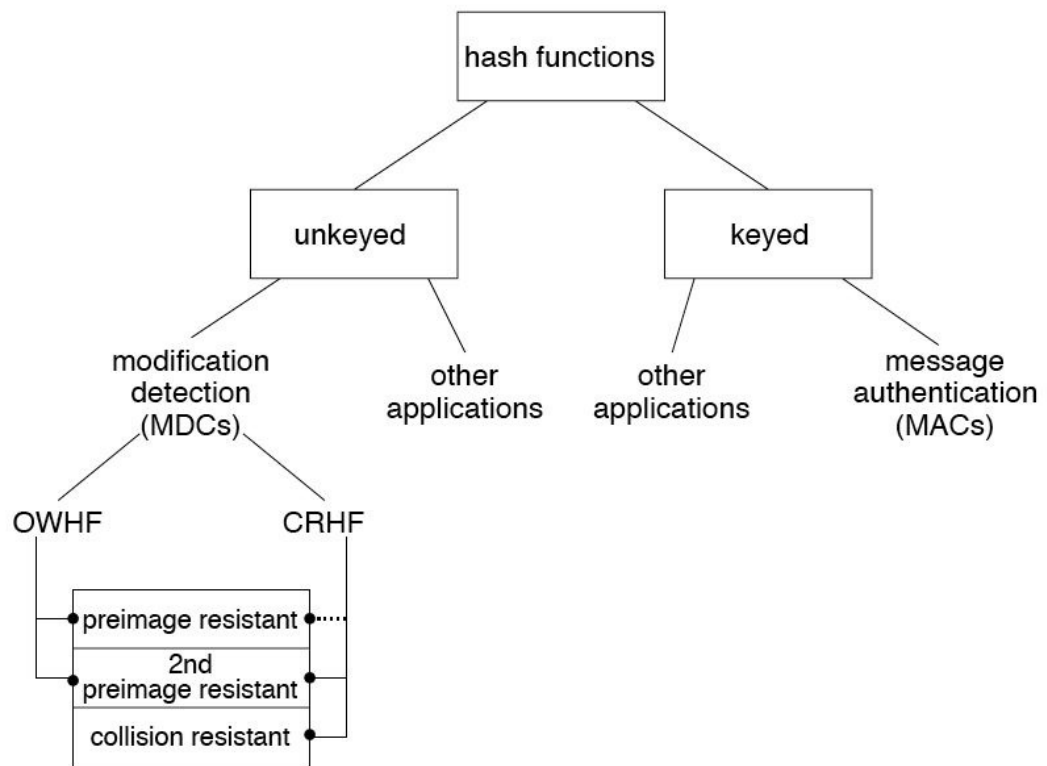
# Definitions

A **one-way hash function** (OWHF) is a hash function that respects the properties of preimage resistance and second preimage resistance

One-way hash functions are also called *weak one-way hash functions*

A **collision resistant hash function** (CRHF) is a hash function that respects the properties of second preimage resistance and collision resistance

Collision resistant hash functions are also called *strong one-way hash functions*



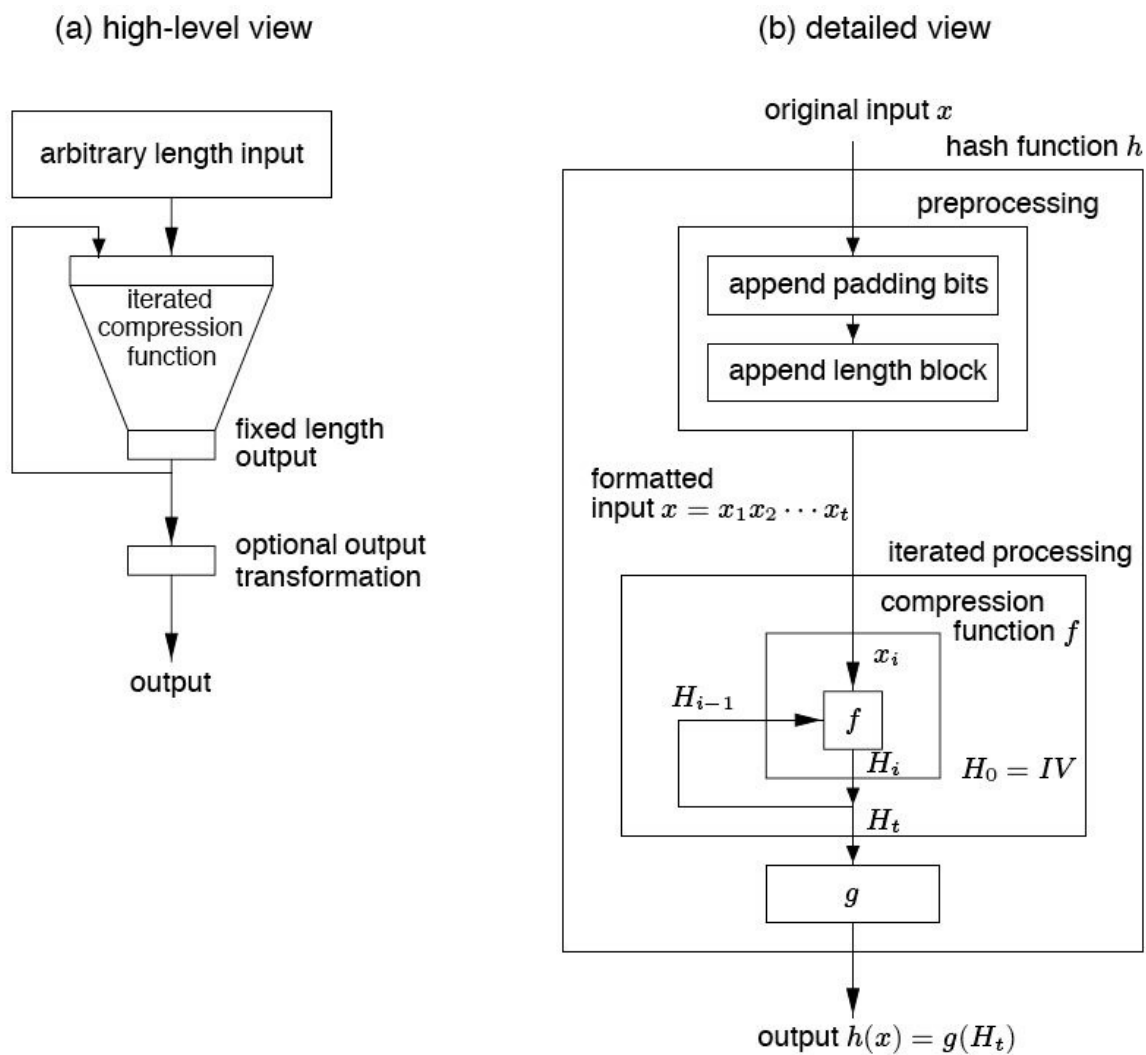
# Keyed and unkeyed hash functions

A message authentication code (MAC) is a function  $h_k()$  parameterized by a secret key  $k$  and that respects the following properties:

1. efficiency: for a known function  $h_k()$ , given a value  $k$  and an input  $x$ ,  $h_k(x)$  is easy to compute
2. compression:  $h_k()$  maps an input  $x$  of arbitrary finite size to an output  $h_k(x)$  of fixed length  $n$
3. computation-resistance: for a value of  $k$  unknown to an adversary, given zero or more pairs  $(x_i, h_k(x_i))$ , it is computationally infeasible to compute any pair  $(x, h_k(x))$  for any new input  $x \neq x_i$

Detection manipulation code (MDC) are unkeyed hash functions





**Figure 9.2:** General model for an iterated hash function.

# Iterative hash function

$$h(x) = g(H_t)$$

$$\begin{cases} H_0 = \text{initial value} \\ H_i = f(H_{i-1}, x_i) \text{ with } i \in [1, t] \end{cases}$$

$$x = x_1 \dots x_t \text{ with } |x_i| = r \text{ for } i \in [1, t]$$

# Hash function: ideal security

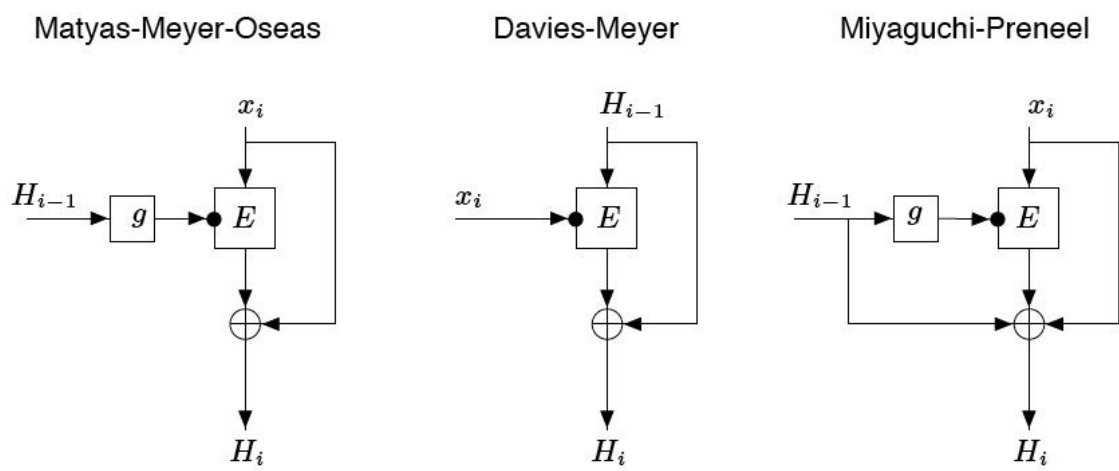
An unkeyed hash function that produces  $n$ -bit outputs is said to have an ideal security if:

1. given a hash output, producing a preimage or a second preimage requires approximately  $2^n$  operations
2. producing a collision requires approximately  $2^{\frac{n}{2}}$  operations

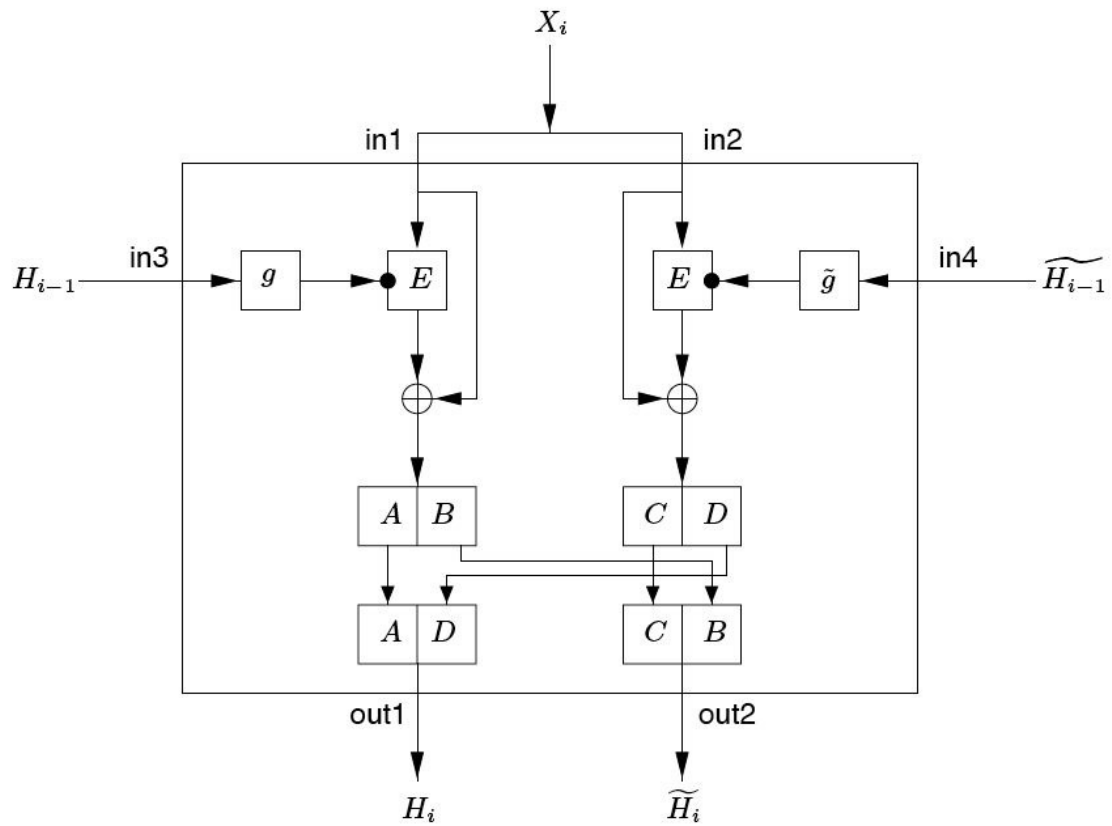
# MDC in practice

Manipulation detection codes can be:

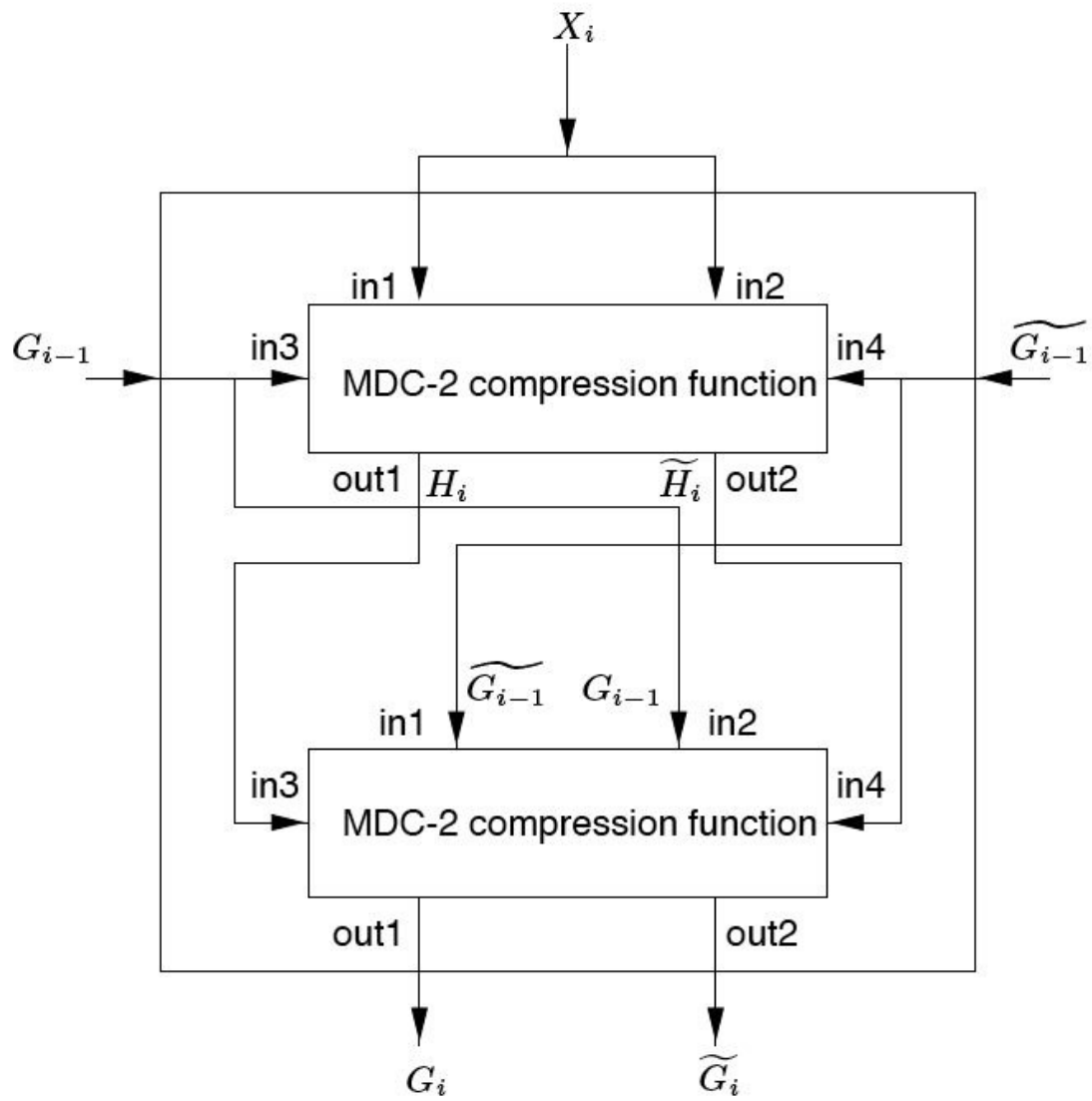
- build using a symmetric bloc cipher



**Figure 9.3:** Three single-length, rate-one MDCs based on block ciphers.



**Figure 9.4:** Compression function of MDC-2 hash function.  $E = DES$ .



**Figure 9.5:** Compression function of MDC-4 hash function

# MDC in practice

Manipulation detection codes can be:

- build using a symmetric bloc cipher
- customized hash functions: MD4, MD5, SHA-1, RIPEMD-160



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**Algorithm** MD4 hash function

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INPUT: bitstring  $x$  of arbitrary bitlength  $b \geq 0$ . (For notation see Table 9.7.)

OUTPUT: 128-bit hash-code of  $x$ . (See Table 9.6 for test vectors.)

1. *Definition of constants.* Define four 32-bit initial chaining values (IVs):  
 $h_1 = 0x67452301$ ,  $h_2 = 0xefcdab89$ ,  $h_3 = 0x98badcfe$ ,  $h_4 = 0x10325476$ .  
Define additive 32-bit constants:  
 $y[j] = 0$ ,  $0 \leq j \leq 15$ ;  
 $y[j] = 0x5a827999$ ,  $16 \leq j \leq 31$ ; (constant = square-root of 2)  
 $y[j] = 0x6ed9eba1$ ,  $32 \leq j \leq 47$ ; (constant = square-root of 3)  
Define order for accessing source words (each list contains 0 through 15):  
 $z[0..15] = [0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]$ ,  
 $z[16..31] = [0, 4, 8, 12, 1, 5, 9, 13, 2, 6, 10, 14, 3, 7, 11, 15]$ ,  
 $z[32..47] = [0, 8, 4, 12, 2, 10, 6, 14, 1, 9, 5, 13, 3, 11, 7, 15]$ .  
Finally define the number of bit positions for left shifts (rotates):  
 $s[0..15] = [3, 7, 11, 19, 3, 7, 11, 19, 3, 7, 11, 19, 3, 7, 11, 19]$ ,  
 $s[16..31] = [3, 5, 9, 13, 3, 5, 9, 13, 3, 5, 9, 13, 3, 5, 9, 13]$ ,  
 $s[32..47] = [3, 9, 11, 15, 3, 9, 11, 15, 3, 9, 11, 15, 3, 9, 11, 15]$ .
  2. *Preprocessing.* Pad  $x$  such that its bitlength is a multiple of 512, as follows. Append a single 1-bit, then append  $r - 1$  ( $\geq 0$ ) 0-bits for the smallest  $r$  resulting in a bitlength 64 less than a multiple of 512. Finally append the 64-bit representation of  $b \bmod 2^{64}$ , as two 32-bit words with least significant word first. (Regarding converting between streams of bytes and 32-bit words, the convention is little-endian; see Note 9.48.) Let  $m$  be the number of 512-bit blocks in the resulting string ( $b + r + 64 = 512m = 32 \cdot 16m$ ). The formatted input consists of  $16m$  32-bit words:  $x_0x_1 \dots x_{16m-1}$ . Initialize:  $(H_1, H_2, H_3, H_4) \leftarrow (h_1, h_2, h_3, h_4)$ .
  3. *Processing.* For each  $i$  from 0 to  $m - 1$ , copy the  $i^{\text{th}}$  block of 16 32-bit words into temporary storage:  $X[j] \leftarrow x_{16i+j}$ ,  $0 \leq j \leq 15$ , then process these as below in three 16-step rounds before updating the chaining variables:  
(initialize working variables)  $(A, B, C, D) \leftarrow (H_1, H_2, H_3, H_4)$ .  
(Round 1) For  $j$  from 0 to 15 do the following:  
 $t \leftarrow (A + f(B, C, D) + X[z[j]] + y[j])$ ,  $(A, B, C, D) \leftarrow (D, t \leftarrow s[j], B, C)$ .  
(Round 2) For  $j$  from 16 to 31 do the following:  
 $t \leftarrow (A + g(B, C, D) + X[z[j]] + y[j])$ ,  $(A, B, C, D) \leftarrow (D, t \leftarrow s[j], B, C)$ .  
(Round 3) For  $j$  from 32 to 47 do the following:  
 $t \leftarrow (A + h(B, C, D) + X[z[j]] + y[j])$ ,  $(A, B, C, D) \leftarrow (D, t \leftarrow s[j], B, C)$ .  
(update chaining values)  $(H_1, H_2, H_3, H_4) \leftarrow (H_1 + A, H_2 + B, H_3 + C, H_4 + D)$ .
  4. *Completion.* The final hash-value is the concatenation:  $H_1||H_2||H_3||H_4$   
(with first and last bytes the low- and high-order bytes of  $H_1, H_4$ , respectively).
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**Algorithm MD5 hash function**

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INPUT: bitstring  $x$  of arbitrary bitlength  $b \geq 0$ . (For notation, see Table 9.7.)

OUTPUT: 128-bit hash-code of  $x$ . (See Table 9.6 for test vectors.)

MD5 is obtained from MD4 by making the following changes.

1. *Notation.* Replace the Round 2 function by:  $g(u, v, w) \stackrel{\text{def}}{=} uw \vee v\overline{w}$ .  
Define a Round 4 function:  $k(u, v, w) \stackrel{\text{def}}{=} v \oplus (u \vee \overline{w})$ .
  2. *Definition of constants.* Redefine unique additive constants:  
 $y[j] = \text{first 32 bits of binary value } \text{abs}(\sin(j+1))$ ,  $0 \leq j \leq 63$ , where  $j$  is in radians and “abs” denotes absolute value. Redefine access order for words in Rounds 2 and 3, and define for Round 4:  
 $z[16..31] = [1, 6, 11, 0, 5, 10, 15, 4, 9, 14, 3, 8, 13, 2, 7, 12]$ ,  
 $z[32..47] = [5, 8, 11, 14, 1, 4, 7, 10, 13, 0, 3, 6, 9, 12, 15, 2]$ ,  
 $z[48..63] = [0, 7, 14, 5, 12, 3, 10, 1, 8, 15, 6, 13, 4, 11, 2, 9]$ .  
Redefine number of bit positions for left shifts (rotates):  
 $s[0..15] = [7, 12, 17, 22, 7, 12, 17, 22, 7, 12, 17, 22, 7, 12, 17, 22]$ ,  
 $s[16..31] = [5, 9, 14, 20, 5, 9, 14, 20, 5, 9, 14, 20, 5, 9, 14, 20]$ ,  
 $s[32..47] = [4, 11, 16, 23, 4, 11, 16, 23, 4, 11, 16, 23, 4, 11, 16, 23]$ ,  
 $s[48..63] = [6, 10, 15, 21, 6, 10, 15, 21, 6, 10, 15, 21, 6, 10, 15, 21]$ .
  3. *Preprocessing.* As in MD4.
  4. *Processing.* In each of Rounds 1, 2, and 3, replace “ $B \leftarrow (t \leftarrow s[j])$ ” by “ $B \leftarrow B + (t \leftarrow s[j])$ ”. Also, immediately following Round 3 add:  
(Round 4) For  $j$  from 48 to 63 do the following:  
 $t \leftarrow (A + k(B, C, D) + X[z[j]] + y[j])$ ,  $(A, B, C, D) \leftarrow (D, B + (t \leftarrow s[j]), B, C)$ .
  5. *Completion.* As in MD4.
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**Algorithm** RIPEMD-160 hash function

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INPUT: bitstring  $x$  of bitlength  $b \geq 0$ .

OUTPUT: 160-bit hash-code of  $x$ . (See Table 9.6 for test vectors.)

RIPEMD-160 is defined (with reference to MD4) by making the following changes.

1. *Notation.* See Table 9.7, with MD4 round functions  $f, g, h$  redefined per Table 9.8 (which also defines the new round functions  $k, l$ ).
  2. *Definition of constants.* Define a fifth IV:  $h_5 = 0xc3d2e1f0$ . In addition:
    - (a) Use the MD4 additive constants for the left line, renamed:  $y_L[j] = 0, 0 \leq j \leq 15$ ;  $y_L[j] = 0x5a827999, 16 \leq j \leq 31$ ;  $y_L[j] = 0x6ed9eba1, 32 \leq j \leq 47$ . Define two further constants (square roots of 5, 7):  $y_L[j] = 0x8f1bbcdc, 48 \leq j \leq 63$ ;  $y_L[j] = 0xa953fd4e, 64 \leq j \leq 79$ .
    - (b) Define five new additive constants for the right line (cube roots of 2, 3, 5, 7):  $y_R[j] = 0x50a28be6, 0 \leq j \leq 15$ ;  $y_R[j] = 0x5c4dd124, 16 \leq j \leq 31$ ;  $y_R[j] = 0x6d703ef3, 32 \leq j \leq 47$ ;  $y_R[j] = 0x7a6d76e9, 48 \leq j \leq 63$ ;  $y_R[j] = 0, 64 \leq j \leq 79$ .
    - (c) See Table 9.9 for constants for step  $j$  of the compression function:  $z_L[j], z_R[j]$  specify the access order for source words in the left and right lines;  $s_L[j], s_R[j]$  the number of bit positions for rotates (see below).
  3. *Preprocessing.* As in MD4, with addition of a fifth chaining variable:  $H_5 \leftarrow h_5$ .
  4. *Processing.* For each  $i$  from 0 to  $m - 1$ , copy the  $i^{\text{th}}$  block of sixteen 32-bit words into temporary storage:  $X[j] \leftarrow x_{16i+j}, 0 \leq j \leq 15$ . Then:
    - (a) Execute five 16-step rounds of the left line as follows:  
( $A_L, B_L, C_L, D_L, E_L$ )  $\leftarrow (H_1, H_2, H_3, H_4, H_5)$ .  
(left Round 1) For  $j$  from 0 to 15 do the following:  
 $t \leftarrow (A_L + f(B_L, C_L, D_L) + X[z_L[j]] + y_L[j])$ ,  
( $A_L, B_L, C_L, D_L, E_L$ )  $\leftarrow (E_L, E_L + (t \leftarrow s_L[j]), B_L, C_L \leftarrow 10, D_L)$ .  
(left Round 2) For  $j$  from 16 to 31 do the following:  
 $t \leftarrow (A_L + g(B_L, C_L, D_L) + X[z_L[j]] + y_L[j])$ ,  
( $A_L, B_L, C_L, D_L, E_L$ )  $\leftarrow (E_L, E_L + (t \leftarrow s_L[j]), B_L, C_L \leftarrow 10, D_L)$ .  
(left Round 3) For  $j$  from 32 to 47 do the following:  
 $t \leftarrow (A_L + h(B_L, C_L, D_L) + X[z_L[j]] + y_L[j])$ ,  
( $A_L, B_L, C_L, D_L, E_L$ )  $\leftarrow (E_L, E_L + (t \leftarrow s_L[j]), B_L, C_L \leftarrow 10, D_L)$ .  
(left Round 4) For  $j$  from 48 to 63 do the following:  
 $t \leftarrow (A_L + k(B_L, C_L, D_L) + X[z_L[j]] + y_L[j])$ ,  
( $A_L, B_L, C_L, D_L, E_L$ )  $\leftarrow (E_L, E_L + (t \leftarrow s_L[j]), B_L, C_L \leftarrow 10, D_L)$ .  
(left Round 5) For  $j$  from 64 to 79 do the following:  
 $t \leftarrow (A_L + l(B_L, C_L, D_L) + X[z_L[j]] + y_L[j])$ ,  
( $A_L, B_L, C_L, D_L, E_L$ )  $\leftarrow (E_L, E_L + (t \leftarrow s_L[j]), B_L, C_L \leftarrow 10, D_L)$ .
    - (b) Execute in parallel with the above five rounds an analogous right line with ( $A_R, B_R, C_R, D_R, E_R$ ),  $y_R[j], z_R[j], s_R[j]$  replacing the corresponding quantities with subscript  $R$ ; and the order of the round functions reversed so that their order is:  $l, k, h, g$ , and  $f$ . Start by initializing the right line working variables: ( $A_R, B_R, C_R, D_R, E_R$ )  $\leftarrow (H_1, H_2, H_3, H_4, H_5)$ .
    - (c) After executing both the left and right lines above, update the chaining values as follows:  $t \leftarrow H_1, H_1 \leftarrow H_2 + C_L + D_R, H_2 \leftarrow H_3 + D_L + E_R, H_3 \leftarrow H_4 + E_L + A_R, H_4 \leftarrow H_5 + A_L + B_R, H_5 \leftarrow t + B_L + C_R$ .
  5. *Completion.* The final hash-value is the concatenation:  $H_1 || H_2 || H_3 || H_4 || H_5$  (with first and last bytes the low- and high-order bytes of  $H_1, H_5$ , respectively).
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## SHA-1 algorithm

*Note: All variables are unsigned 32 bits and wrap modulo  $2^{32}$  when calculating*

*Initialize variables:*

```
h0 := 0x67452301
h1 := 0xEFCDAB89
h2 := 0x98BADCFE
h3 := 0x10325476
h4 := 0xC3D2E1F0
```

*Pre-processing:*

```
append a single "1" bit to message
append "0" bits until message length  $\equiv 448 \equiv -64 \pmod{512}$ 
append length of message, in bits as 64-bit big-endian integer to message
```

*Process the message in successive 512-bit chunks:*

break message into 512-bit chunks

**for** each chunk

break chunk into sixteen 32-bit big-endian words  $w(i)$ ,  $0 \leq i \leq 15$

*Extend the sixteen 32-bit words into eighty 32-bit words:*

**for**  $i$  **from** 16 **to** 79

$w(i) := (w(i-3) \text{ xor } w(i-8) \text{ xor } w(i-14) \text{ xor } w(i-16)) \text{ leftrotate } 1$

*Initialize hash value for this chunk:*

```
a := h0
b := h1
c := h2
d := h3
e := h4
```

*Main loop:*

**for**  $i$  **from** 0 **to** 79

**if**  $0 \leq i \leq 19$  **then**

$f := (b \text{ and } c) \text{ or } ((\text{not } b) \text{ and } d)$

$k := 0x5A827999$

**else if**  $20 \leq i \leq 39$

$f := b \text{ xor } c \text{ xor } d$

$k := 0x6ED9EBA1$

**else if**  $40 \leq i \leq 59$

$f := (b \text{ and } c) \text{ or } (b \text{ and } d) \text{ or } (c \text{ and } d)$

$k := 0x8F1BBCDC$

**else if**  $60 \leq i \leq 79$

$f := b \text{ xor } c \text{ xor } d$

$k := 0xCA62C1D6$

$\text{temp} := (a \text{ leftrotate } 5) + f + e + k + w(i)$

$e := d$

$d := c$

$c := b \text{ leftrotate } 30$

$b := a$

$a := \text{temp}$

*Add this chunk's hash to result so far:*

$h0 := h0 + a$

$h1 := h1 + b$

$h2 := h2 + c$

$h3 := h3 + d$

$h4 := h4 + e$

digest = hash =  $h0 \text{ append } h1 \text{ append } h2 \text{ append } h3 \text{ append } h4$  *(expressed as big-endian)*

## SHA-256

```
//Note: All variables are unsigned 32 bits and wrap modulo  $2^{32}$  when calculating

//Initialize variables:
h0 := 0x6a09e667 //232 times the square root of the first 8 primes 2..19
h1 := 0xbb67ae85
h2 := 0x3c6ef372
h3 := 0xa54ff53a
h4 := 0x510e527f
h5 := 0x9b05688c
h6 := 0x1f83d9ab
h7 := 0x5be0cd19

//Initialize table of round constants:
k(0..63) := //232 times the cube root of the first 64 primes 2..311
0x428a2f98, 0x71374491, 0xb5c0fbef, 0xe9b5dba5, 0x3956c25b, 0x59f111f1, 0x923f82a4, 0xab1c5ed5,
0xd807aa98, 0x12835b01, 0x243185be, 0x550c7dc3, 0x72be5d74, 0x80deb1fe, 0x9bdc06a7, 0xc19bf174,
0xe49b69c1, 0xefbe4786, 0x0fc19dc6, 0x240ca1cc, 0x2de92c6f, 0x4a7484aa, 0x5cb0a9dc, 0x76f988da,
0x983e5152, 0xa831c66d, 0xb00327c8, 0xbf597fc7, 0xc6e00bf3, 0xd5a79147, 0x06ca6351, 0x14292967,
0x27b70a85, 0x2e1b2138, 0x4d2c6dfe, 0x53380d13, 0x650a7354, 0x766a0abb, 0x81c2c92e, 0x92722c85,
0xa2bfe8a1, 0xa81a664b, 0xc24b8b70, 0xc76c51a3, 0xd192e819, 0xd6990624, 0xf40e3585, 0x106aa070,
0x19a4c116, 0x1e376c08, 0x2748774c, 0x34b0bcb5, 0x391c0cb3, 0x4ed8aa4a, 0x5b9cca4f, 0x682e6ff3,
0x748f82ee, 0x78a5636f, 0x84c87814, 0x8cc70208, 0x90befffa, 0xa4506ceb, 0xbef9a3f7, 0xc67178f2

//Pre-processing:
append a single "1" bit to message
append "0" bits until message length  $\equiv 448 \equiv -64 \pmod{512}$ 
append length of message, in bits as 64-bit big-endian integer to message

//Process the message in successive 512-bit chunks:
break message into 512-bit chunks
for each chunk
    break chunk into sixteen 32-bit big-endian words w(i),  $0 \leq i \leq 15$ 

    //Extend the sixteen 32-bit words into sixty-four 32-bit words:
    for i from 16 to 63
        s0 := (w(i-15) rightrotate 7) xor (w(i-15) rightrotate 18) xor (w(i-15) rightshift 3)
        s1 := (w(i-2) rightrotate 17) xor (w(i-2) rightrotate 19) xor (w(i-2) rightshift 10)
        w(i) := w(i-16) + s0 + w(i-7) + s1

    //Initialize hash value for this chunk:
    a := h0
    b := h1
    c := h2
    d := h3
    e := h4
    f := h5
    g := h6
    h := h7

    //Main loop:
    for i from 0 to 63
        s0 := (a rightrotate 2) xor (a rightrotate 13) xor (a rightrotate 22)
        maj := (a and b) or (b and c) or (c and a)
        t0 := s0 + maj
        s1 := (e rightrotate 6) xor (e rightrotate 11) xor (e rightrotate 25)
        ch := (e and f) or ((not e) and g)
        t1 := h + s1 + ch + k(i) + w(i)

        h := g
        g := f
        f := e
        e := d + t1
        d := c
        c := b
        b := a
        a := t0 + t1

    //Add this chunk's hash to result so far:
    h0 := h0 + a
    h1 := h1 + b
    h2 := h2 + c
    h3 := h3 + d
    h4 := h4 + e
    h5 := h5 + f
    h6 := h6 + g
    h7 := h7 + h
```



Name	String	Hash value (as a hex byte string)
MD4	“” “a” “abc” “abcdefghijklmnopqrstuvwxyz”	31d6cfe0d16ae931b73c59d7e0c089c0 bde52cb31de33e46245e05fbdbd6fb24 a448017aaf21d8525fc10ae87aa6729d d79e1c308aa5bbcddea8ed63df412da9
MD5	“” “a” “abc” “abcdefghijklmnopqrstuvwxyz”	d41d8cd98f00b204e9800998ecf8427e 0cc175b9c0f1b6a831c399e269772661 900150983cd24fb0d6963f7d28e17f72 c3fcd3d76192e4007dfb496cca67e13b
SHA-1	“” “a” “abc” “abcdefghijklmnopqrstuvwxyz”	da39a3ee5e6b4b0d3255bfe95601890afd80709 86f7e437faa5a7fce15d1ddcb9eaeaea377667b8 a9993e364706816aba3e25717850c26c9cd0d89d 32d10c7b8cf96570ca04ce37f2a19d84240d3a89
RIPEMD-160	“” “a” “abc” “abcdefghijklmnopqrstuvwxyz”	9c1185a5c5e9fc54612808977ee8f548b2258d31 0bdc9d2d256b3ee9daae347be6f4dc835a467ffe 8eb208f7e05d987a9b044a8e98c6b087f15a0bfc f71c27109c692c1b56bbdceb5b9d2865b3708dbc

**Table 9.6:** Test vectors for selected hash functions.

# MDC in practice

Manipulation detection codes can be:

- build using a symmetric bloc cipher
- customized hash functions: MD4, MD5, SHA-1, RIPEMD-160
- build using modular arithmetic: MASH-1

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**Algorithm MASH-1** (version of Nov. 1995)

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INPUT: data  $x$  of bitlength  $0 \leq b < 2^{n/2}$ .

OUTPUT:  $n$ -bit hash of  $x$  ( $n$  is approximately the bitlength of the modulus  $M$ ).

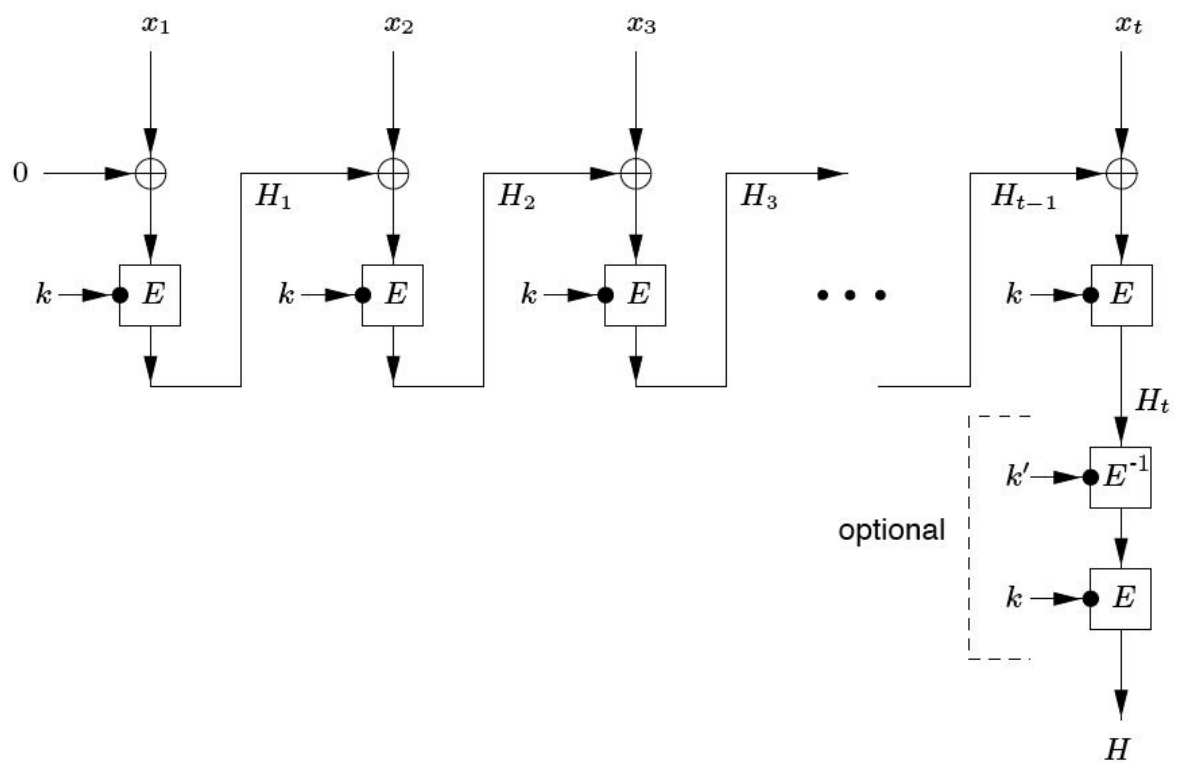
1. *System setup and constant definitions.* Fix an RSA-like modulus  $M = pq$  of bitlength  $m$ , where  $p$  and  $q$  are randomly chosen secret primes such that the factorization of  $M$  is intractable. Define the bitlength  $n$  of the hash-result to be the largest multiple of 16 less than  $m$  (i.e.,  $n = 16n' < m$ ).  $H_0 = 0$  is defined as an IV, and an  $n$ -bit integer constant  $A = \text{0xf0} \dots \text{0}$ . “ $\vee$ ” denotes bitwise inclusive-OR; “ $\oplus$ ” denotes bitwise exclusive-OR.
  2. *Padding, blocking, and MD-strengthening.* Pad  $x$  with 0-bits, if necessary, to obtain a string of bitlength  $t \cdot n/2$  for the smallest possible  $t \geq 1$ . Divide the padded text into  $(n/2)$ -bit blocks  $x_1, \dots, x_t$ , and append a final block  $x_{t+1}$  containing the  $(n/2)$ -bit representation of  $b$ .
  3. *Expansion.* Expand each  $x_i$  to an  $n$ -bit block  $y_i$  by partitioning it into (4-bit) nibbles and inserting four 1-bits preceding each, except for  $y_{t+1}$  wherein the inserted nibble is 1010 (not 1111).
  4. *Compression function processing.* For  $1 \leq i \leq t+1$ , map two  $n$ -bit inputs  $(H_{i-1}, y_i)$  to one  $n$ -bit output as follows:  $H_i \leftarrow (((H_{i-1} \oplus y_i) \vee A)^2 \bmod M) \dashv n \oplus H_{i-1}$ . Here  $\dashv n$  denotes keeping the rightmost  $n$  bits of the  $m$ -bit result to its left.
  5. *Completion.* The hash is the  $n$ -bit block  $H_{t+1}$ .
-



# MAC in practice

Message authentication codes can be:

- build using symmetric bloc ciphers



**Figure 9.6:** CBC-based MAC algorithm.

# CBC-MAC

If the optional part is not realized:

Let  $x$  a one-bloc input

Let  $M = \text{CBC-MAC}(x)$

$$\text{CBC-MAC}(M) = \text{CBC-MAC}(x \parallel 0 \dots 0)$$

where  $\parallel$  is the concatenation and where the size  $x \parallel 0 \dots 0$  is two blocs

# MAC in practice

Message authentication codes can be:

- build using symmetric bloc ciphers
- build using MDC

# MAC in practice

A MAC can be constructed from an MDC algorithm by including a secret key  $k$  as part of the MDC input

If the MDC follows an iterative construction

$$\begin{cases} H_0 = \text{initial value} \\ H_i = f(H_{i-1}, x_i) \text{ avec } i \in [1, t] \\ h(x) = H_t \end{cases}$$

Then  $\text{MAC}(x)$  where  $x = x_1 \dots x_t$  can be build as  $h_k(x) = h(k|x)$ . This construction must be avoided

The construction  $h_k(x) = h(x|k)$  can be dangerous if collisions can be found for the function  $h()$

Therefore, it is suggested to compute  $h_k(x) = h(k|x|k)$

## MAC in practice: HMAC

Let *opad* (outer padding) be a bloc = 0x5c5c5c5c5c

Let *ipad* (inner padding) be a bloc = 0x3636363636

$$\text{HMAC}(k, x) = h((k \oplus \text{opad}) | h((k \oplus \text{ipad}) | x))$$

# MAC in practice

Message authentication codes can be:

- build using symmetric bloc ciphers
- build using MDC
- customized hash functions: MAA, MD5-MAC

---

**Algorithm MD5-MAC**

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INPUT: bitstring  $x$  of arbitrary bitlength  $b \geq 0$ ; key  $k$  of bitlength  $\leq 128$ .

OUTPUT: 64-bit MAC-value of  $x$ .

MD5-MAC is obtained from MD5 (Algorithm 9.51) by the following changes.

1. *Constants.* The constants  $U_i$  and  $T_i$  are as defined in Example 9.70.
  2. *Key expansion.*
    - (a) If  $k$  is shorter than 128 bits, concatenate  $k$  to itself a sufficient number of times, and redefine  $k$  to be the leftmost 128 bits.
    - (b) Let  $\overline{\text{MD5}}$  denote MD5 with both padding and appended length omitted. Expand  $k$  into three 16-byte subkeys  $K_0$ ,  $K_1$ , and  $K_2$  as follows: for  $i$  from 0 to 2,  $K_i \leftarrow \overline{\text{MD5}}(k \parallel U_i \parallel k)$ .
    - (c) Partition each of  $K_0$  and  $K_1$  into four 32-bit substrings  $K_j[i]$ ,  $0 \leq i \leq 3$ .
  3.  $K_0$  replaces the four 32-bit IV's of MD5 (i.e.,  $h_i = K_0[i]$ ).
  4.  $K_1[i]$  is added mod  $2^{32}$  to each constant  $y[j]$  used in Round  $i$  of MD5.
  5.  $K_2$  is used to construct the following 512-bit block, which is appended to the padded input  $x$  subsequent to the regular padding and length block as defined by MD5:  
 $K_2 \parallel K_2 \oplus T_0 \parallel K_2 \oplus T_1 \parallel K_2 \oplus T_2$ .
  6. The MAC-value is the leftmost 64 bits of the 128-bit output from hashing this padded and extended input string using MD5 with the above modifications.
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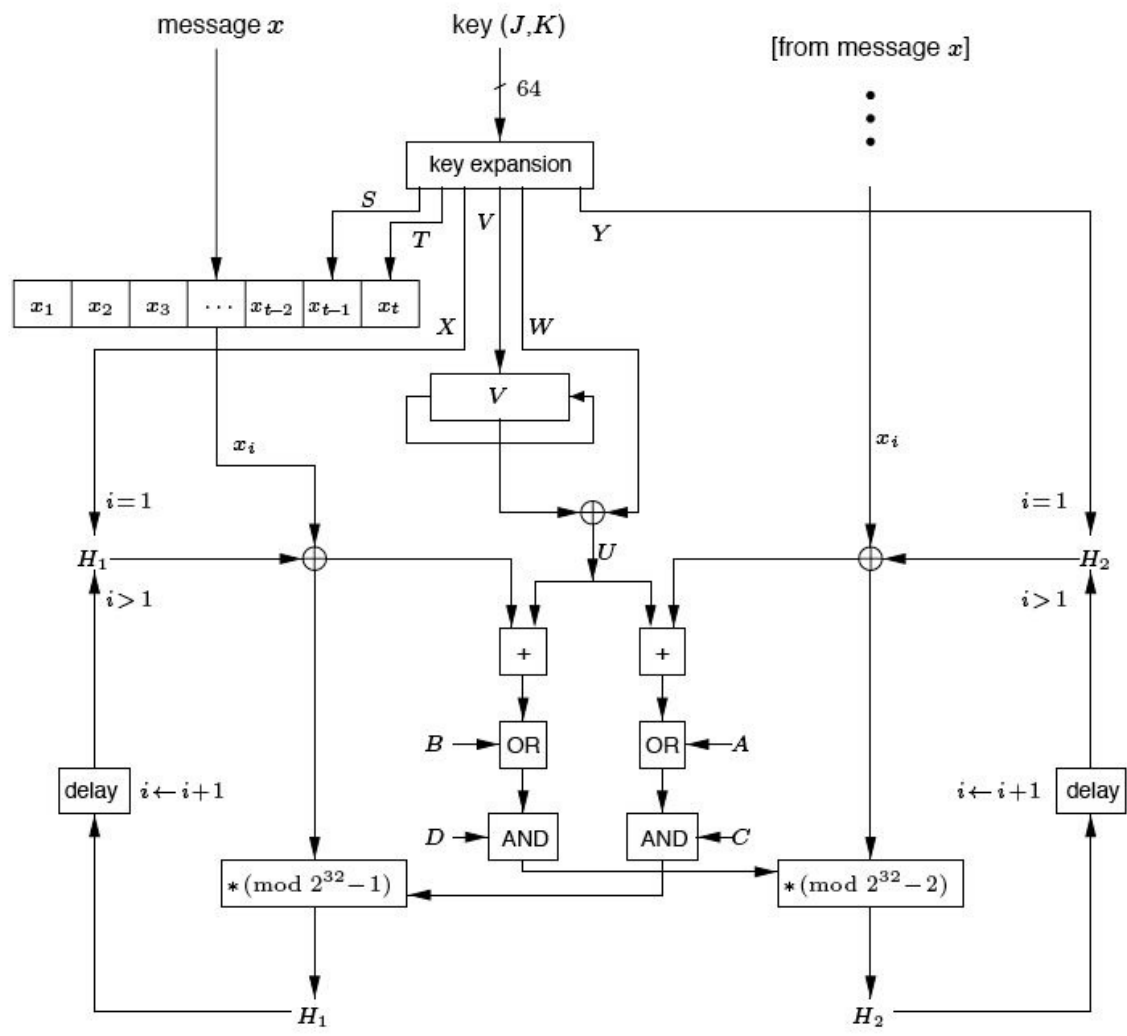
**Algorithm** Message Authenticator Algorithm (MAA)

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INPUT: data  $x$  of bitlength  $32j$ ,  $1 \leq j \leq 10^6$ ; secret 64-bit MAC key  $Z = Z[1]..Z[8]$ .

OUTPUT: 32-bit MAC on  $x$ .

1. *Message-independent key expansion.* Expand key  $Z$  to six 32-bit quantities  $X, Y, V, W, S, T$  ( $X, Y$  are initial values;  $V, W$  are main loop variables;  $S, T$  are appended to the message) as follows.
    - 1.1 First replace any bytes 0x00 or 0xff in  $Z$  as follows.  $P \leftarrow 0$ ; for  $i$  from 1 to 8 ( $P \leftarrow 2P$ ; if  $Z[i] = 0x00$  or 0xff then ( $P \leftarrow P + 1$ ;  $Z[i] \leftarrow Z[i] \text{ OR } P$ )).
    - 1.2 Let  $J$  and  $K$  be the first 4 bytes and last 4 bytes of  $Z$ , and compute:
$$\begin{aligned} X &\leftarrow J^4 \pmod{2^{32}-1} \oplus J^4 \pmod{2^{32}-2} \\ Y &\leftarrow [K^5 \pmod{2^{32}-1} \oplus K^5 \pmod{2^{32}-2}](1+P)^2 \pmod{2^{32}-2} \\ V &\leftarrow J^6 \pmod{2^{32}-1} \oplus J^6 \pmod{2^{32}-2} \\ W &\leftarrow K^7 \pmod{2^{32}-1} \oplus K^7 \pmod{2^{32}-2} \\ S &\leftarrow J^8 \pmod{2^{32}-1} \oplus J^8 \pmod{2^{32}-2} \\ T &\leftarrow K^9 \pmod{2^{32}-1} \oplus K^9 \pmod{2^{32}-2} \end{aligned}$$
    - 1.3 Process the 3 resulting pairs  $(X, Y), (V, W), (S, T)$  to remove any bytes 0x00, 0xff as for  $Z$  earlier. Define the AND-OR constants:  $A = 0x02040801, B = 0x00804021, C = 0xbfef7fdf, D = 0x7dfefbff$ .
  2. *Initialization and preprocessing.* Initialize the rotating vector:  $v \leftarrow V$ , and the chaining variables:  $H_1 \leftarrow X, H_2 \leftarrow Y$ . Append the key-derived blocks  $S, T$  to  $x$ , and let  $x_1 \dots x_t$  denote the resulting augmented segment of 32-bit blocks. (The final 2 blocks of the segment thus involve key-derived secrets.)
  3. *Block processing.* Process each 32-bit block  $x_i$  (for  $i$  from 1 to  $t$ ) as follows.
$$\begin{aligned} v &\leftarrow (v \leftarrow 1), \quad U \leftarrow (v \oplus W) \\ t_1 &\leftarrow (H_1 \oplus x_i) \times_1 (((H_2 \oplus x_i) + U) \text{ OR } A) \text{ AND } C) \\ t_2 &\leftarrow (H_2 \oplus x_i) \times_2 (((H_1 \oplus x_i) + U) \text{ OR } B) \text{ AND } D) \\ H_1 &\leftarrow t_1, H_2 \leftarrow t_2 \end{aligned}$$
where  $\times_i$  denotes special multiplication mod  $2^{32} - i$  as noted above ( $i = 1$  or  $2$ ); “+” is addition mod  $2^{32}$ ; and “ $\leftarrow 1$ ” denotes rotation left one bit. (Each combined AND-OR operation on a 32-bit quantity sets 4 bits to 1, and 4 to 0, precluding 0-multipliers.)
  4. *Completion.* The resulting MAC is:  $H = H_1 \oplus H_2$ .
-



**Figure 9.7:** The Message Authenticator Algorithm (MAA).

# Integrity

**Data integrity** ensures that a data has not been altered in an unauthorized manner (no matter that the data is stored or transmitted)

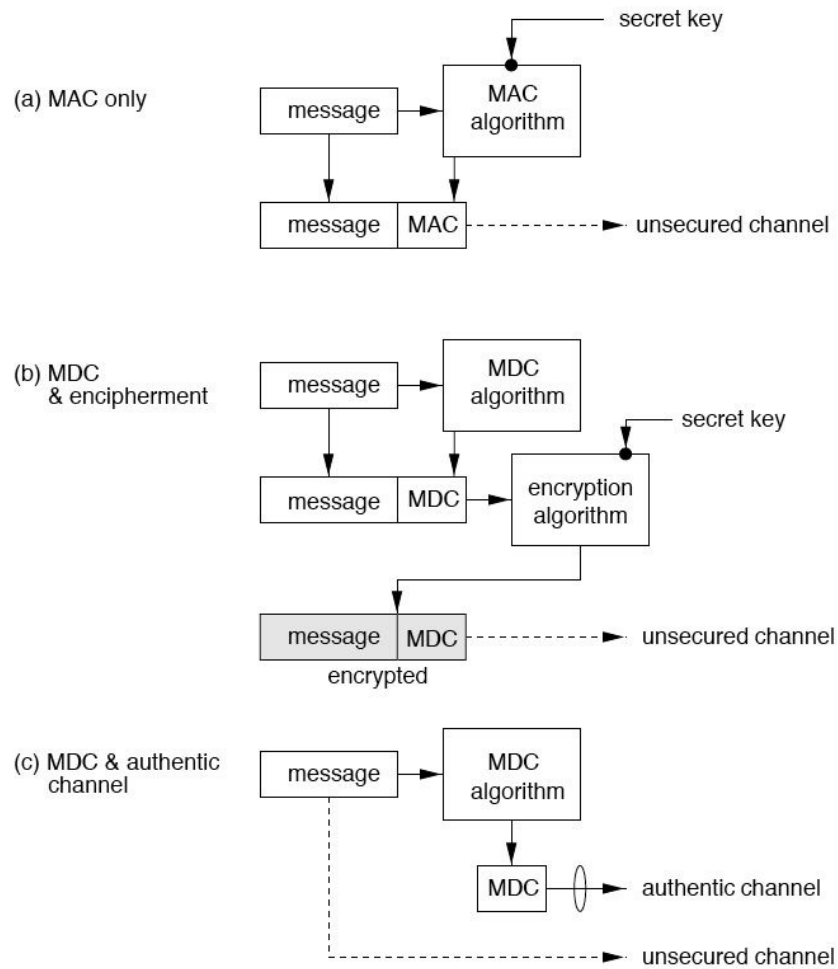
**data source authentication** is based on a shared secret key (but the entities that share the secret key can not be distinguished)

When mechanisms that prevent reply attacks are used, we have **transaction authentication**

# Integrity

Integrity can be obtained with:

- error detection/correction mechanisms
- message authentication code (MAC)
- manipulation detection code (MDC) used with an authenticated channel
- encryption
- MDC + encryption
- MAC + encryption



**Figure 9.8:** Three methods for providing data integrity using hash functions. The second method provides encipherment simultaneously.

# MAC+ encryption

$$h_k(x) = E_k(x|h_{k'}(x))$$

But, we have to avoid:

- $k = k'$
- $h_{k'} = \text{CBC-MAC without the optional part}$
- $E_k = \text{symmetric bloc encryption in CBC mode that is identical than the one used in the CBC-MAC}$
- same initial value in CBC-MAC and in  $E_k$

# Birthday paradox

When considering 23 people, the probability that at least two of them have their birthday on the same day (not taking into account the year of birth) is approximately equal to 50 percent

# Birthday paradox

Let  $h$  be a hash function,  $h : X \rightarrow Z$ , where  $X$  and  $Z$  are finite sets such that  $|X| > |Z|$ . Let  $|X| = m$  and  $|Z| = n$

Consider  $k$  messages  $x_i \in X$  chosen randomly (with  $i \in [1, k]$ )

What is the probability that two different  $x_i$  have the same image (i.e. produce a collision)?

$$z_i = h(x_i) \text{ for } i \in [1, k]$$

We can consider that the  $z_i$  are random values (what is reasonable when considering the output of a cryptographic hash function) since the  $x_i$  are chosen randomly



# Birthday paradox

The probability that all the  $z_i$  are distinct is:

$$1 \left(1 - \frac{1}{n}\right) \left(1 - \frac{2}{n}\right) \dots \left(1 - \frac{k-1}{n}\right) = \prod_{i=1}^{k-1} \left(1 - \frac{i}{n}\right)$$

where 1 is the probability to draw  $z_1$ ,  $\left(1 - \frac{1}{n}\right)$  is the probability to draw  $z_2 \neq z_1$ ,  $\dots$ ,  $\left(1 - \frac{i}{n}\right)$  is the probability to draw a  $z_i$  distinct from  $z_1, \dots, z_{i-1}$

# Birthday paradox

$$\prod_{i=1}^{k-1} \left(1 - \frac{i}{n}\right) \approx \prod_{i=1}^{k-1} e^{-\frac{i}{n}}$$

because  $e^{-x} = 1 - x + \frac{x^2}{2!} - \frac{x^3}{3!} \dots$ , and  $e^{-x} \approx 1 - x$  if  $x$  is small

here  $x = \frac{i}{n}$  with at most  $x = \frac{k}{n}$  and  $k \leq n$

Therefore:  $1 - \frac{i}{n} \approx e^{-\frac{i}{n}}$

# Birthday paradox

$$\prod_{i=1}^{k-1} \left(1 - \frac{i}{n}\right) \approx e^{-\frac{1}{n} \sum_{i=1}^{k-1} i} = e^{-\frac{(k-1)k}{2n}}$$

because

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

# Birthday paradox

Let  $P'$  be the probability that there is no collision, we have:

$$\prod_{i=1}^{k-1} 1 - \frac{i}{n} = P'$$

$$P' \approx e^{-\frac{(k-1)k}{2n}}$$

$$\ln(P') \approx -\frac{(k-1)k}{2n}$$

$$2n \ln(P') \approx -(k-1)k$$

$$2n \ln\left(\frac{1}{P'}\right) \approx k^2 - k$$

$$2n \ln\left(\frac{1}{P'}\right) \approx k^2 \text{ (by neglecting } k \text{ in comparison with } k^2)$$

# Birthday paradox

$$2n \ln\left(\frac{1}{P'}\right) \approx k^2, \text{ donc } \sqrt{2n \ln\left(\frac{1}{P'}\right)} \approx k$$

Let  $P$  be the probability that there is at least one collision:  $P = 1 - P'$

$$\sqrt{2n \ln\left(\frac{1}{1-P}\right)} \approx k$$

If  $P = \frac{1}{2}$ :

$$\sqrt{2n \ln(2)} \approx k$$

$$\sqrt{1,386n} \approx k$$

$$1,177\sqrt{n} \approx k$$

$k$  is in  $O(\sqrt{n})$

# Birthday paradox

If the outputs of a cryptographic hash function are on 64 bits ( $|Z| = 2^{64}$ ), when testing  $2^{32}$  messages the probability to find a collision surpasses  $\frac{1}{2}$

More generally, if  $|Z| = 2^r$ , when testing  $2^{\frac{r}{2}}$  messages the probability to find a collision surpasses  $\frac{1}{2}$

This explain the ideal security of cryptographic hash functions

# Birthday paradox

If  $X = \{\text{humans}\}$  and  $Z = \text{every 365 days (birth-days)}$ ,  $|Z| = 365 = n$ , we have:  $1,177\sqrt{n} = 1,177\sqrt{365} \approx 23$

When considering 23 people, the probability to find two people out of the 23 that have their birthday the same day surpasses  $\frac{1}{2}$

With  $P = \frac{3}{4}$ , we have  $k \approx 1,66\sqrt{n}$ ,, therefore if  $n = 365$  we have  $k \approx 32$

With  $P = 99\%$ , we have  $k \approx 58$